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03-27-a

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			<b>Docket No.</b> 6568-2A
In Re Application Of: <b>GODFREY, Mike and</b> <b>NUCARO, Antonio</b>			
<b>Serial No.</b> 09/987,217	<b>Filing Date</b> November 14, 2001	<b>Examiner</b> To Be Assigned	<b>Group Art Unit</b> 2837
Title: <b>A METHOD AND APPARATUS FOR PRODUCING APPARENT MULTIDIMENSIONAL SOUND</b>			
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		<b>Address to:</b> Assistant Commissioner for Patents Washington, D.C. 20231	
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
<b>OR</b>			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
6568-2A

In Re Application: **GODFREY, Mike and**  
**NUCARO, Antonio**

Serial No.  
09/987,217

Filing Date  
November 14, 2001

Examiner  
To Be Assigned

Group Art Unit  
2837

**A METHOD AND APPARATUS FOR PRODUCING APPARENT MULTIDIMENSIONAL SOUND**

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) Technology Center 2600

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- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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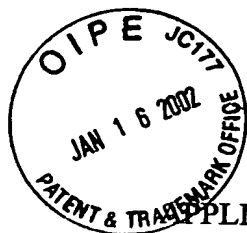
Signature

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Ottawa, Ontario  
K2P 1P9

CC:

File No.: 6568-2A

Ottawa, Canada  
January 10, 2002



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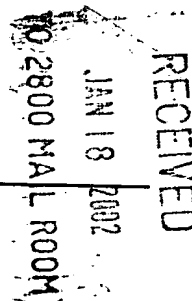
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**APPLICANT(S):**

**Godfrey , Mike and Antonio Nucaro**

For	:	<b>A METHOD OF AND APPARATUS FOR PRODUCING APPARENT MULTIDIMENSIONAL SOUND</b>
Serial No.	:	09/987,217
Confirmation No.	:	6727
Filed	:	11/14/2001
Examiner	:	Unknown
Group Art Unit	:	2837

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231  
U.S.A.



**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO 1449 is brought to the attention of the Examiner. Copies of the listed items are enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

Commissioner of Patents

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. Section 1.56(b).

Respectfully submitted,



Ian Fincham  
Agent for the Applicant(s)

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Encl.

Form PTO-1449  
Copies of References

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